

# Funding Strategies

## FINANCIAL SERVICES GUIDE

FUNDING STRATEGIES PTY LTD  
ABN 34 161 423 123  
Corporate Authorised Representative

of

NEXTEC COPORATION PTY LTD  
ACN 072 282 529  
AFSL 320 680

Effective Date: 1<sup>ST</sup> April 2019

## About Us

Nextec Corporation Pty Ltd (Nextec) is the holder of Australian Financial Services Licence (“AFSL”) number 230 680.

Funding Strategies Pty Ltd (FS), is an Authorised Representative (number 1274754) of Nextec.

This Financial Service Guide (FSG) is designed to assist you in deciding whether to use FS’ services and includes such things as:

- who we are
- our services
- how we transact with you
- how we are paid, and
- complaint processes

FS is the entity providing the AFSL authorized services to you.

FS is an independent private company.

FS’ services will be offered under the FS name.

Nextec is an independent private company owned by the directors of Nextec.

The distribution of this FSG by FS is authorized by Nextec.

## Contact Details of Nextec and FS:

FS Office: Level 5, 15 Astor Terrace, Spring Hill QLD 4000.

Phone: +61 7 3160 2840

Email: [brisbane@fundingstrategies.com.au](mailto:brisbane@fundingstrategies.com.au)

Website: <http://www.fundingstrategies.com.au>

Nextec Office: Level 11, 333 Collins St, Melbourne VIC 3000

Email: [ilmars@collinsgroup.com.au](mailto:ilmars@collinsgroup.com.au)

## What Financial Services are we authorised to provide to wholesale clients?

FS is authorised by Nextec only for the purpose of acting for and on behalf of clients in relation to proposed or actual mergers, acquisitions, takeovers, corporate reconstructions or issues of shares, debt and convertible notes, to carry on a financial services business to provide financial product advice for securities to wholesale clients.

## How are we paid?

FS may receive a distribution fee from the issuer of securities initiated via an eligible offer document, subject to the conflicted remuneration laws.

FS may receive a fee for advising an issuer of securities via an eligible offer document. Fees will generally be disclosed via the offer and/or will be disclosed to you when relevant.

## Associations and Relationships

Nextec, FS, its directors and related parties are independent service providers. FS will disclose to you if there are any pecuniary interests relating to FS offers and FS' representatives may subscribe to securities on offer.

## Complaints

If you have a complaint about our service you should contact your FS representative and tell them about your complaint. The representative will engage with the Nextec representative with a view to resolving the dispute. If you aren't satisfied with the outcome, you may contact the Australian Financial Complaints Authority (AFCA).

Nextec is a member of the Australian Financial Complaints Authority (AFCA), a body set up to consider complaints about an investment or financial advice that you have received from a firm. AFCA provides fair and independent financial services complaint resolution that is free to consumers.

Website: [www.afca.org.au](http://www.afca.org.au)

Email: [info@afca.org.au](mailto:info@afca.org.au)

Telephone: 1800 931 678 (free call)

In writing to: Australian Financial Complaints Authority, GPO Box 3, Melbourne VIC 3001.

## Professional Indemnity Insurance

FS has in place Professional Indemnity Insurance.